

## **Responsibilities of the Board of Supervisors**

The Board of Supervisors, as the supervisory body of the Bank, aims to protect the legitimate rights of the Bank, Shareholders, staff, depositors and other stakeholders. It is accountable to the general meeting. The duties of the Board of Supervisors include: to review the Bank's financial reports prepared by the Board of Directors and express comments thereon in writing; to supervise the performance and due diligence of the Board of Directors, senior management and its members; to question the Directors, the Board of Directors and senior management; to conduct exit audits towards the Directors and senior management personnel, if necessary; to require the Directors and senior management personnel to rectify their acts which are detrimental to the interests of the Bank; to examine and supervise the Bank's financial activities; to supervise and audit the business decision making, risk management and internal control of the Bank, if necessary; to propose dismissal or initiate legal proceedings against the Directors and senior management personnel who violate laws, administrative regulations, the Articles of Association or resolutions of the general meeting; to propose the convening of extraordinary general meetings and extraordinary Board meetings, convene and preside over the general meeting in the event that the Board of Directors has failed to undertake its duty as stipulated by the Company Law to convene and preside over the general meeting, and propose resolutions to a general meeting; to verify financial information such as financial reports, business reports and profit distribution plans to be proposed at the general meeting by the Board of Directors; to make arrangements for remuneration (or allowance) for the Supervisors; and to exercise other powers and rights conferred by applicable laws, administrative regulations, departmental rules, regulatory documents and the Articles of Association or those granted by the general meeting.

The Board of Supervisors has established an office as its administrative body, responsible for the preparation of meetings of the Board of Supervisors and its special committees and routine affairs.

## **Special Committees of the Board of Supervisors**

### **The Nomination Committee of the Board of Supervisors**

The nomination committee of the Board of Supervisors of the Bank consists of three Supervisors, Mr. ZHU Zhihui, Mr. MA Baojun and Ms. CHEN Xinxiu. The nomination committee of the Board of Supervisors is chaired by Mr. MA Baojun.

Primary duties of the Nomination Committee of the Board of Supervisors include: formulating the selection procedures and standards of Supervisors, preliminarily reviewing the qualifications of candidates for supervisors and making recommendations to the Board of Supervisors; supervising the process of election and appointment of Directors; conducting overall assessment on the performance of duties by the Directors, Supervisors and senior management personnel and submitting reports to the Board of Supervisors; supervising whether the remuneration management system and policies of the Bank and the remuneration proposal for its senior management members are scientific and reasonable; and any other matters under the authority granted by the Board of Supervisors.

### **Supervision Committee of the Board of Supervisors**

The supervision committee of the Board of Supervisors of the Bank consists of three Supervisors, Mr. ZHU Zhihui, Mr. XU Changsheng and Mr. LI Huaibin. The nomination committee of the Board of Supervisors is chaired by Mr. XU Changsheng.

The primary duties of the Supervision Committee of the Board of Supervisors are formulating plans for supervision of financial activities of the Bank and implementing relevant inspections; supervising the Board of Directors to establish and adhere to prudent business philosophy and value standards and formulating development strategies that align with the actual situations of the Bank; monitoring and reviewing the business decision making, risk management and internal control of the Bank; and any other matters under the authority granted by the Board of Supervisors. The Supervision Committee of the Board of Supervisors may conduct investigation on special affairs of the Bank, and the results of the investigation will be reported to both the Board of Supervisors and the Board of Directors.

**The list of the members of the Board of Supervisors is set out below:**

<b>Name</b>	<b>Position in the Bank</b>
ZHU Zhihui (朱志晖)	Shareholder Supervisor
MA Baojun (马宝军)	External Supervisor
XU Changsheng (徐长生)	External Supervisor
LI Huaibin (李怀斌)	Employee Supervisor
CHEN Xinxiu (陈新秀)	Employee Supervisor